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Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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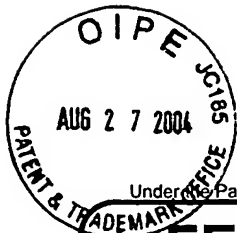
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/763,299
	Filing Date	January 22, 2004
	First Named Inventor	Jennifer K. Fredrickson
	Group Art Unit	1615
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	PC26991A

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	--Copies of References cited in IDS
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Karen B. King Reg. # 41,898
Signature	
Date	8/23/04

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313 on this date: <u>8-23-04</u>			
Typed or printed name	Inja Cho		
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number	10/763,299
Filing Date	January 22, 2004
First Named Inventor	Jennifer K. Fredrickson
Examiner Name	Unknown
Art Unit	1615
Attorney Docket No.	PC26991A

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 23-0455
Deposit Account Name: Warner-Lambert Company

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: -20** = x =
Independent Claims: -3** = x =
Multiple Dependent: =

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

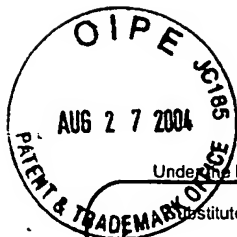
(Complete if applicable)

Name (Print/Type)	Karen B. King	Registration No. (Attorney/Agent)	41,898	Telephone	734-622-4837
Signature		Date	8/23/04		

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/763,299
Filing Date	January 22, 2004
First Named Inventor	J.K. Fredrickson
Art Unit	1615
Examiner Name	Unknown
Attorney Docket Number	PC26991A

Sheet 1 of 4

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,656,027	04-07-1987	Sjoovist	
		US- 4,788,220	11-29-1988	Mody, et al	
		US- 4,994,260	02-19-1991	Kallstrand, et al	
		US- 5,008,117	04-16-1991	Calanchi, et al	
		US- 5,085,868	02-04-1992	Mattsson, et al	
		US- 5,164,510	11-17-1992	Brickner, et al	
		US- 5,231,188	07-27-1993	Brickner, et al	
		US- 5,272,137	12-21-1993	Blase, et al	
		US- 5,306,506	04-26-1994	Zema, et al	
		US- 5,354,556	10-11-1994	Sparks, et al	
		US- 5,409,907	04-25-1995	Blase, et al	
		US- 5,565,571	10-15-1996	Barbachyn, et al	
		US- 5,627,181	05-06-1997	Riedl, et al	
		US- 5,652,238	07-29-1997	Brickner, et al	
		US- 5,658,919	08-19-1997	Sheila, et al	
		US- 5,688,792	11-18-1997	Barbachyn, et al	
		US- 5,698,574	12-16-1997	Riedl, et al	
		US- 5,730,997	03-24-1998	Lienhop, et al	
		US- 6,069,145	05-30-2000	Betts	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	EP	717,992 A2	06-26-1996	Ratnaraj, et al		
	EP	0620001 A1	10-19-1994	Ratnaraj, et al		
	WO	98/17250	04-30-1998			
	WO	99/63937	12-16-1999	Griffin, et al		

Examiner
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/763,299
Filing Date	January 22, 2004
First Named Inventor	J.K. Fredrickson
Art Unit	1615
Examiner Name	Unknown
Attorney Docket Number	PC26991A

Sheet 2 of 4

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,197,348	03-06-2001	Catania, et al	
		US- 6,197,348 B1	03-06-2001	Morella, et al	
		US- 6,239,152 B1	05-29-2001	Gordeev, et al	
		US- 6,261,602 B1	07-17-2001	Calanchi, et al	
		US- 5,633,006	05-27-1997	Catania, et al	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	WO	01/52848 A2	07-26-2001	Percel, et al		
	WO	02/072102 A1	09-19-2002	Ulrich, et al		
	WO	02/09666 A1	02-07-2002	Keating, et al		

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SignatureDate
Considered

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		Application Number	10/763,299		
		Filing Date	January 22, 2004		
		First Named Inventor	J.K. Fredrickson		
		Group Art Unit	1615		
		Examiner Name	Unknown		
		Attorney Docket Number	PC26991A		
Sheet	3	of	4		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Buoyancy". http://hyperphysics.phy-astr.gsu.edu/hbase/pbuoy.html downloaded on: December 13, 2002	
		Dell, Sheila M. et al. "Avicel RC/CL, Microcrystalline Cellulose and Carboxymethylcellulose Sodium, NF, BP". FMC BioPolymer Section 14, 2001 pp. 1-27	
		Font, R. et al., "Semi-batch test of sedimentation. Application to design". Chemical Engineering Journal 80 (2000) Alicante, Spain pp. 157-165	
		Perry, Robert H ed., "Perry's Chemical Engineers' Handbook". McGraw-Hill Companies, Inc., Seventh Edition, 1997 pp.56-65	
		"Physical Treatment-Sedimentation" http://www.ae.iastate.edu/Ae573_ast475/Physical_Treatment-Theory.htm downloaded on: January 10, 2003	
		Reo, Joseph P. & Jennifer Fredrickson., "Taste Masking science and Technology Applied to Compacted Oral Solid Dosage Part 1". American Pharmaceutical Review, Spring 2002. www.americanpharmaceuticalreview.com/static_part_issues/1_APR_Spring_2002	
		Reo, Joseph P. & Jennifer Fredrickson., "Taste Masking science and Technology Applied to Compacted Oral Solid Dosage Part 2". American Pharmaceutical Review, Spring 2002. www.americanpharmaceuticalreview.com/static_part_issues/3_APR_Fall_2002	
		Reo, Joseph P. & Jennifer Fredrickson., "Taste Masking science and Technology Applied to Compacted Oral Solid Dosage Part 3". American Pharmaceutical Review, Spring 2002. www.americanpharmaceuticalreview.com/static_part_issues/4_APR_Winter_2002	
		Robinson, J.V. et al. "Rise of Air Bubbles in Aircraft Lubricating Oils". Technical Note 2033, National Advisory, Committee for Aeronautics, Washington, February 1950 pp. 1-24	
		Szatkowski, M., et al "Kinetics of flotation with fine bubbles". Trans. Institution of Mining and Metallurgy (Sect. G: Mineral Process. Extr. Metall.) 94, June 1985, pp. C61-C70	

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/763,299
				Filing Date	August 20, 2004
				First Named Inventor	Jennifer K. Fredrickson
				Group Art Unit	1615
				Examiner Name	Unknown
Sheet	4	of	4	Attorney Docket Number	PC26991A

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Timmermans, Jacques., et al. "Factors Controlling the Buoyancy and Gastric Retention Capabilities of Floating Matrix Capsules: New Data for Reconsidering the Controversy". Journal of Pharmaceutical Sciences, Vol. 89, No.1, January 1994, pp. 18-24	
		Turian, R.M., "Characterization, settling, and rheology of concentrated fine particulate mineral slurries". Powder Technology 93 (1997) 219-233	
		"Zyvox" Physician's Desk Reference, 57th ed. Thompson, 2003; 2800-2805	

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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